

Certificate of Registration

INFORMATION SECURITY MANAGEMENT SYSTEM - ISO/IEC 27001:2013

This is to certify that:

Orion Advisor Solutions, Inc.
17605 Wright Street
Omaha
Nebraska
68130
USA

Holds Certificate No:

IS 595557

and operates an Information Security Management System which complies with the requirements of ISO/IEC 27001:2013 for the following scope:

The management of the Information Security Management System applies to all information technology operations and systems undertaken by the Information Technology Operations Center ("ITOC") department that supports the development and daily operations of products and services offered by Orion Advisor Solutions, Inc., and its subsidiaries and affiliated companies (collectively, "Orion"). This is in accordance with the Statement of Applicability, Revision 3.4, 8/3/2022.

For and on behalf of BSI:

Carlos Pitanga, Managing Director Assurance, Americas

Original Registration Date: 2013-09-19

Effective Date: 2022-09-19

Latest Revision Date: 2022-10-10

Expiry Date: 2025-09-18

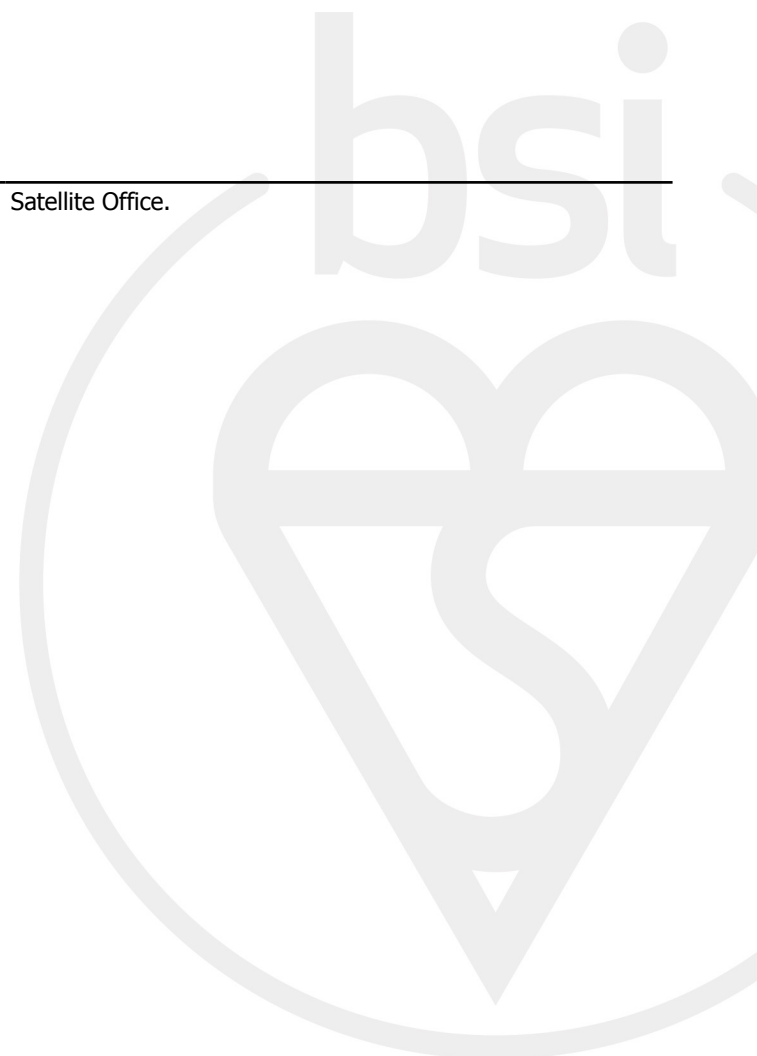
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...making excellence a habit.™

Certificate No: **IS 595557**

Location	Registered Activities
Orion Advisor Solutions, Inc. 17605 Wright Street Omaha Nebraska 68130 USA	ISMS Controlling location.
Orion Advisor Solutions, Inc. 1055 Westlakes Drive Suite 250 Berwyn Pennsylvania 19312 USA	Satellite Office.
Orion Advisor Solutions, Inc. 2300 Litton Lane Suite 100 Hebron Kentucky 41048 USA	Satellite Office.



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This certificate relates to the information security management system, and not to the products or services of the certified organization. The certificate reference number, the mark of the certification body and/or the accreditation mark may not be shown on products or stated in documents regarding products or services. Promotion material, advertisements or other documents showing or referring to this certificate, the trademark of the certification body, or the accreditation mark, must comply with the intention of the certificate. The certificate does not of itself confer immunity on the certified organization from legal obligations.

This certificate remains the property of BSI and shall be returned immediately upon request.
An electronic certificate can be authenticated [online](http://www.bsigroup.com/ClientDirectory). Printed copies can be validated at www.bsigroup.com/ClientDirectory
To be read in conjunction with the scope above or the attached appendix.
Information and Contact: BSI, Kitemark Court, Davy Avenue, Knowlhill, Milton Keynes MK5 8PP. Tel: + 44 345 080 9000
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