

NEWTON

Investment
Management

➤ BNY MELLON | INVESTMENT MANAGEMENT

AN OVERVIEW

Newton is a global investment management firm owned by BNY Mellon. Newton Investment Management North America (NIMNA) was incorporated in 2021 as the US domicile of Newton. We use an active, multidimensional and engaged approach in striving to deliver attractive outcomes to our clients and foster a healthy and vibrant world for all.

AUM: \$111 BN

At June 30, 2023

**INVESTMENT
PROFESSIONALS: 130+**

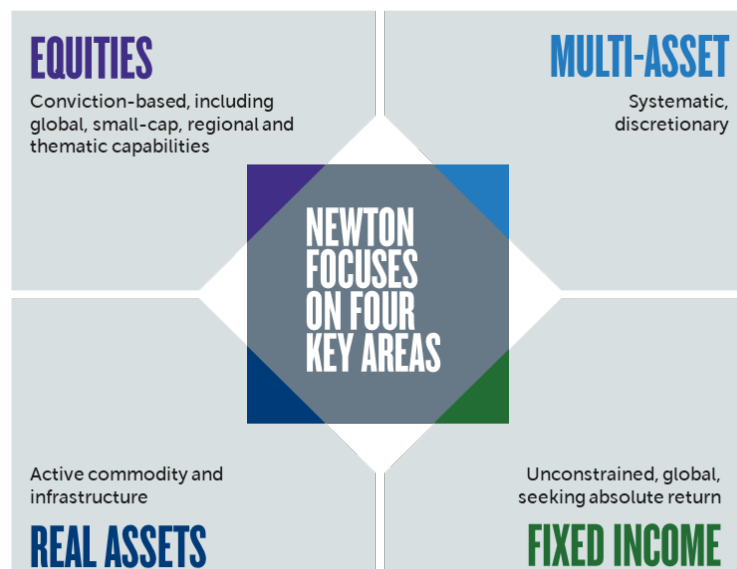
**OFFICES: LONDON, NEW YORK, BOSTON,
SAN FRANCISCO, TOKYO**

Newton History

1978: Newton founded

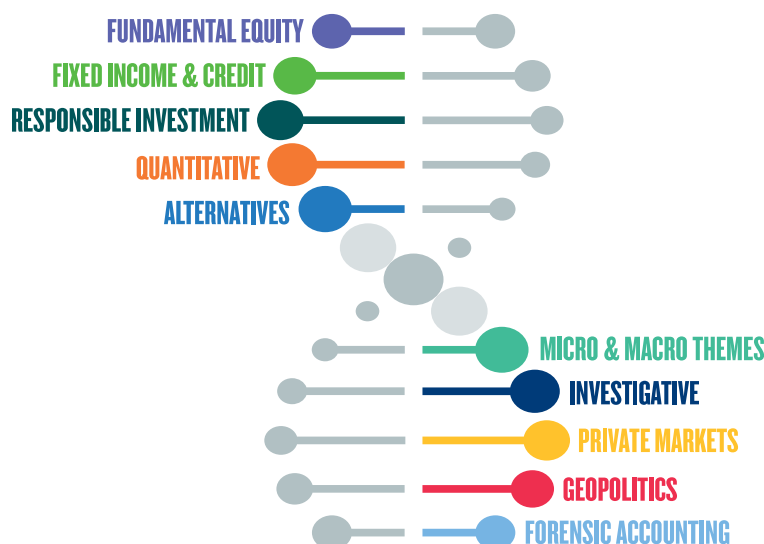
1998: Newton acquired by Mellon Bank (now BNY Mellon)

2021: The active equity and multi-asset platforms of Mellon Investments Corporation (legacy Mellon Capital and The Boston Company) moved to Newton.



Multidimensional Research

In recognizing the increasingly complex investing landscape, we believe a holistic approach is a necessity, and that belief is the foundation for our multidimensional research platform.



Key Strategies

Strategies/Suites	Highlights
Liquid, Diversifying Multi-Asset	Suite of bespoke and off-the-shelf solutions with depth and breadth in uncorrelated alpha generation and beta structuring through a disciplined systematic investment process (global macro, factor premia, trend, commodities, tail-risk hedging).
Dynamic US Equity (DUSE)	Our differentiated core US equity offering, which is style and factor neutral; has delivered positive alpha over the last three decades.
US Dynamic Large Cap Value	Disciplined and consistent value investing focused on strong fundamentals, attractive valuation and catalyst-driven business momentum with a three-decade track record.
US Equity Income	An income-focused large-cap value strategy that seeks to generate dividend growth targets greater than the S&P 500®.
Emerging Markets Equity	Concentrated and unconstrained, with a focus on companies that are exposed to resilient growth opportunities, have a unique or best-in-class franchise, and exhibit strong governance.
Global Natural Resources Equity	Concentrated, commodity-focused equity strategy with a strong track record, leveraging the unique opportunities offered through natural-resources equities.
Global Real Return	Flexible and transparent global multi-asset strategy designed to outperform in various market environments with an emphasis on capital preservation.
Global Dynamic Bond/Global Dynamic Bond Income	Unconstrained and thematic-driven, with a top-down asset allocation and a fundamental bottom-up approach.
SMID/Small Cap suite	Two-decade history managing small cap equities covering core, value and growth.
Thematic suite	High-conviction strategies designed to capitalize on specific themes and secular long-term growth drivers.

Past performance is not a guide to future performance. The value of investments and the income from them can fall as well as rise and investors may not get back the original amount invested.

newtonim.com  @NewtonIM  Newton Investment Management Group

Invest with purpose

Important information

Newton Investment Management North America, LLC ("NIMNA" or the "Firm") is a registered investment adviser and subsidiary of The Bank of New York Mellon Corporation ("BNY Mellon"). The Firm was established in 2021, comprised of equity and multi-asset teams from an affiliate, Mellon Investments Corporation. The Firm is part of the group of affiliated companies that individually or collectively provide investment advisory services under the brand "Newton" or "Newton Investment Management" ("Newton"). Newton currently includes NIMNA and Newton Investment Management Ltd ("NIM") and Newton Investment Management Japan Limited ("NIMJ"). Any statements of opinion constitute only current opinions of NIMNA, which are subject to change and which NIMNA does not undertake to update. This publication or any portion thereof may not be copied or distributed without prior written approval from the firm. Statements are correct as of the date of the material only. This document may not be used for the purpose of an offer or solicitation in any jurisdiction or in any circumstances in which such offer or solicitation is unlawful or not authorized. The information in this publication is for general information only and is not intended to provide specific investment advice or recommendations for any purchase or sale of any specific security. Some information contained herein has been obtained from third party sources that are believed to be reliable, but the information has not been independently verified by NIMNA. NIMNA makes no representations as to the accuracy or the completeness of such information. No investment strategy or risk management technique can guarantee returns or eliminate risk in any market environment and past performance is no indication of future performance. The indices referred to herein are used for comparative and informational purposes only and have been selected because they are generally considered to be representative of certain markets. Comparisons to indices as benchmarks have limitations because indices have volatility and other material characteristics that may differ from the portfolio, investment or hedge to which they are compared. The providers of the indices referred to herein are not affiliated with NIMNA, do not endorse, sponsor, sell or promote the investment strategies or products mentioned herein and they make no representation regarding the advisability of investing in the products and strategies described herein. Any forward-looking statements speak only as of the date they are made, and are subject to numerous assumptions, risks, and uncertainties, which change over time. Actual results could differ materially from those anticipated in forward-looking statements. In Canada, Newton Investment Management Limited is availing itself of the International Adviser Exemption (IAE) in the following Provinces: Alberta, British Columbia, Ontario and Quebec and the foreign commodity trading advisor exemption in Ontario. The IAE is in compliance with National Instrument 31-103, Registration Requirements, Exemptions and Ongoing Registrant Obligations.