

# Certificate of Registration

INFORMATION SECURITY MANAGEMENT SYSTEM - ISO/IEC 27001:2013

This is to certify that:

Orion Advisor Solutions, LLC  
17605 Wright Street  
Omaha  
Nebraska  
68130  
USA

Holds Certificate No:

**IS 595557**

and operates an Information Security Management System which complies with the requirements of ISO/IEC 27001:2013 for the following scope:

The management of information security applies to all information technology operations and systems undertaken by the Management Information Systems Network (MISN) department that supports the development and daily operations of products and services. This is in accordance with the Statement of Applicability, Revision 3.3, 6/5/2020.

For and on behalf of BSI:

Carlos Pitanga, Chief Operating Officer Assurance – Americas

Original Registration Date: 2013-09-19

Effective Date: 2019-09-19

Latest Revision Date: 2020-07-27

Expiry Date: 2022-09-18

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Certificate No: **IS 595557**

Location	Registered Activities
Orion Advisor Solutions, LLC 17605 Wright Street Omaha Nebraska 68130 USA	The management of information security applies to all information technology operations and systems undertaken by the Management Information Systems Network (MISN) department that supports the development and daily operations of products and services.
Orion Advisor Solutions, LLC 80 Arkay Drive Hauppauge New York 11788 USA	Satellite Office - Orion.
Orion Advisor Solutions, LLC 22722 29th Drive SE Suite 130 Bothell Washington 98021 USA	Sales/Customer Support.

Original Registration Date: 2013-09-19

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This certificate relates to the information security management system, and not to the products or services of the certified organization. The certificate reference number, the mark of the certification body and/or the accreditation mark may not be shown on products or stated in documents regarding products or services. Promotion material, advertisements or other documents showing or referring to this certificate, the trademark of the certification body, or the accreditation mark, must comply with the intention of the certificate. The certificate does not of itself confer immunity on the certified organization from legal obligations.

This certificate remains the property of BSI and shall be returned immediately upon request.

An electronic certificate can be authenticated [online](http://www.bsigroup.com/ClientDirectory). Printed copies can be validated at [www.bsigroup.com/ClientDirectory](http://www.bsigroup.com/ClientDirectory) To be read in conjunction with the scope above or the attached appendix.

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